

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PRAVEEN SHARMA et al

Appln. No.: 09/429,003

Filed: October 29, 1999



Group Art Unit: 1649

Examiner: Unknown

For: METHOD OF PREPARING A STANDARD DIAGNOSTIC  
GENE TRANSCRIPT PATTERN

REQUEST FOR REFUND

Assistant Commissioner  
of Patents  
Washington, D.C. 20231

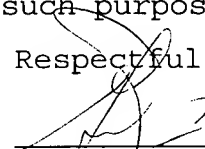
Sir:

Applicants hereby respectfully request a refund in the amount of \$65.00, for the above-identified application.

Specifically, on February 1, 2000, Applicants filed a Submission of Missing Parts of Application, along with a Late Declaration filing fee in the amount of \$130.00. However, since Applicants are filing simultaneously herewith an executed Small Entity Status Declaration, Applicants are requested to be reimbursed for half of the above-noted large entity filing fee.

Therefore, Applicant respectfully requests that a refund (in the amount of \$65.00) be restored to Deposit Account No. 19-4880 as Applicants are now claiming small entity status. A duplicate copy of this paper is attached for such purpose.

Respectfully submitted,

  
\_\_\_\_\_  
Gordon Kit  
Registration No. 30,764

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3202  
(202) 293-7060  
Date: March 29, 2000

DEP2REF  
RM-307

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PRAVEEN SHARMA et al

Appln. No.: 09/429,003

Filed: October 29, 1999



Group Art Unit: 1649

Examiner: Unknown

*H/S/Refund  
by  
Riche  
Small Entity*

For: METHOD OF PREPARING A STANDARD DIAGNOSTIC  
GENE TRANSCRIPT PATTERN

SUBMISSION OF EXECUTED SMALL  
ENTITY STATUS DECLARATION

Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith an executed Small Entity Status  
Declaration for the above-identified patent application

The Examiner is invited to contact the undersigned at his  
Washington telephone number on any questions which might arise.

Respectfully submitted,

  
Gordon Kit

Registration No. 30,764

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3202  
(202) 293-7060

Date: March 29, 2000

Applicant or Patentee: Praveen SHARMA, Anders LÖNNEBORG Attorney's  
Application or Patent No.: 09/429,003 Docket No.: Q-56359  
Filed or Issued: October 29, 1999  
For: METHOD OF PREPARING A STANDARD DIAGNOSTIC GENE  
TRANSCRIPT PATTERN

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27 (c)) -- SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern  
identified below:

NAME OF CONCERN FORSKNINGSPARKEN I Ås AS  
ADDRESS OF CONCERN Sagabygget, N-1432 Ås, NORWAY

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD OF PREPARING A STANDARD DIAGNOSTIC GENE TRANSCRIPT PATTERN by inventor Praveen SHARMA, Lonneborg ANDERS described in:

- ☐ the specification filed herewith  
☒ Application No. 09/429,003  
☐ Patent No. \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

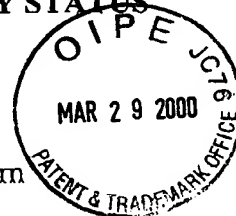
FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL

☒ SMALL BUSINESS  
CONCERN

☐ NONPROFIT  
ORGANIZATION



I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE IN ORGANIZATION

ADDRESS OF PERSON SIGNING

Signature

Kristoffer M. WinterManaging directorSagabudjetN-1432 72, Norway

Date

24 FEBRUARY 2000

KRISTOFFER M. WINTER